



**SUPERIOR COURT OF CALIFORNIA
COUNTY OF ALAMEDA**

Request for Proposal No. SC07/005

**Specifications, Terms & Conditions
for
Traffic School Review & Monitoring Program**

**Networking / Bidder's Conference
at**

9:00 a.m. On March 21, 2007

at

**Rene C. Davidson Courthouse
1225 Fallon Street, Room 105
Oakland, CA 94612**

**Response due by:
2:00 p.m. on April 4, 2007**

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I. INTRODUCTION: Summary of the Intended Procurement

1.1 Issuing Body. The Superior Court of California, Counties of Alameda (“Court”) is issuing this Request for Proposal (“RFP”) to provide the Court with competitive bids for the administration of the Court’s Traffic School Programs pursuant to Section 11205 of the California Vehicle Code (CVC).

Through the solicitation of competitive bids it is anticipated that the Court will be in a position to select a bidder capable of providing the most efficient, successful and cost effective traffic school services program(s).

1.2 RFP Sections. This RFP sections follow:

1. Introduction – Summary of the Intended Procurement
2. Procurement and Evaluation Process
3. Proposal Format and Content
4. Statement of Work
5. Attachments

1.3 Project Overview. The Court solicits proposals from highly qualified vendors, with experience performing the following responsibilities related to traffic school:

1. Classroom Monitoring;
2. Business Office Audits;
3. Printing and distribution of the Court referral list;
4. Investigation and special reports of deficiencies found during monitoring and auditing services;
5. Periodic meetings with traffic schools;
6. Supervision of all monitoring and auditing staff;
7. Travel expenses of all monitoring and auditing staff;
8. Investigate, follow-up and respond to complaints regarding traffic schools, and to notify the Court as to such findings.

The Court intends to award a contract to a vendor that is able to administer the traffic school program, as further described in Section IV of this RFP. However, the Court reserves the right to reject any or all proposals, in whole or in part, submitted in response to this RFP. The Court further reserves the right to make no award and to modify or cancel, in whole or in part, this RFP.

II. PROCUREMENT and EVALUATION PROCESS

2.1 Procurement Schedule and General Instructions. The Court has developed the following list of key events from RFP issuance through notice contract award. All deadlines are subject to change at the Court's discretion.

	EVENTS	Dates
1	Issue RFP	March 5, 2007
2	Vendor's Letter of Intent, to Participate in Mandatory Pre-Proposal Conference	March 16, 2007
3	Pre-Proposal Conference Date and Time	9:00 am March 21, 2007
4	Deadline for Vendor Requests for Clarifications or Modifications	March 28, 2007
5	Proposal Due Date and Time	2 p.m. (Pacific Time) April 4, 2007
6	Potential Interviews	April 13, 2007
7	Negotiations (estimated)	April 18, 2007
8	Notice of Intent to Award (estimated)	April 23, 2007
9	Notice of Award (estimated)	April 24, 2007

The RFP and later-issued addenda will be available on the following website(s), referred to individually and collectively as "Court website": www.alameda.courts.ca.gov

2.1.1 Contact List

Submittal Contacts: Linda Salcido
Procurement Manager
1225 Fallon Street, Room 210
Oakland, CA 94612

Project Manager: Lori Rodekohr
Operations Director
1225 Fallon Street, Room 100
Oakland, CA 94612

2.1.2 Disposition of Material and Confidential or Proprietary Information. All materials submitted in response to the solicitation document will become the property of the Court, and will be returned only at the Court's option, and at the expense of the vendor

submitting the proposal. One copy of a submitted proposal will be retained for official files and become a public record. Any material that a vendor considers confidential, but does not meet the disclosure exemption requirements of the California Public Records Act should not be included in the vendor's proposal as it may be made available to the public.

Even though the Public Records Act (PRA) does not apply to the Court, the Court's policy is to look to the PRA for guidance in responding to requests for documents. If a vendor's proposal contains material noted or marked as confidential and/or proprietary that, in the Court's sole opinion, meets the disclosure exemption requirements of the PRA, then that information will not be disclosed pursuant to a written request for public documents. If the Court does not consider such material to be exempt from disclosure under the PRA, the material may be made available to the public, regardless of the notation or markings. If a vendor is unsure if its confidential and/or proprietary material meets the disclosure exemption requirements of the PRA, then it should not include such information in its proposal because such information may be disclosed to the public.

2.1.3 Proposal Preparation Costs. Vendors submitting proposals do so entirely at their expense. There is no express or implied obligation by the Court to reimburse a vendor for any costs incurred in preparing or submitting proposals, providing additional information when requested by the Court, participating in any selection interviews or product demonstrations, or participating in this procurement.

2.2 Mandatory Pre-proposal Conference

2.2.1 Mandatory Pre-proposal Conference. A pre-proposal conference to answer questions related to this RFP will be held on the date and at the time specified in Section 2.1. The location of the pre-proposal conference is stated below:

Rene C. Davidson Courthouse
1225 Fallon Street, Room 105
Oakland, CA 94612

The pre-proposal conference is mandatory; prospective vendors are required to attend in order to better understand the proposal requirements. In the event a potential vendor is unable to attend the pre-proposal conference, an authorized representative may attend on their behalf. A representative may only sign in for one vendor. Proposals from vendors who did not attend the pre-proposal conference will not be accepted and will be returned unopened.

A Letter of Intent from a pre-proposal conference participant must be sent to the Submittal Contact at the address listed in Section 2.1.1 by the date and time noted in Section 2.1 indicating the number of individuals (of vendor and its subcontractors) who plan on attending the pre-proposal conference. The Court will prepare a summary of questions and answers from the pre-proposal conference, as an addenda, which will be provided to attendees or, if identified in Section 2.1, will be posted on the Court website.

2.2.2 Potential Pre-proposal Conference. It may be necessary to have a pre-proposal conference, as numerous questions may be received. If a pre-proposal conference is required, the Court will notify all potential vendors of the time, date, and location by

providing notice to potential vendors or, if identified in Section 2.1, the notice will be posted on the Court's website.

If a pre-proposal conference is required, the Court will summarize questions and answers from the pre-proposal conference in addenda, and post on the Court's website.

If a potential vendor is unable to attend the pre-proposal conference, an authorized representative may attend on their behalf. The representative may only sign-in for one vendor. Proposals from vendors failing to attend the pre-proposal conference will not be accepted, and will be returned unopened.

A Letter of Intent from a pre-proposal conference participant must be sent to the Submittal Contact at the address listed in Section 2.1.1 by the date and time noted in Section 2.1 indicating the number of individuals (of vendor and its subcontractors) who plan on attending the pre-proposal conference.

2.3 Pre-Submittal Process

2.3.1 Request for Clarifications or Modifications. Vendors interested in responding to this solicitation may submit questions on procedural matters related to the RFP or requests for clarification or modification of this solicitation document, including questions regarding the Contract Term and Conditions, to the Project Manager. If the vendor is requesting a change, the request must set forth the recommended change and the vendor's reasons for proposing the change. All questions and requests must be submitted in writing to the Submittal Contact listed in Section 2.1.1 no later than the date specified in Section 2.1, Procurement Schedule and General Instructions. Questions or requests submitted after the due date will not be answered.

Without disclosing the source of the question or request, the Project Manager will provide a copy of the questions to potential vendors or, if appropriate, post a copy of the questions and the Court's responses on the Court's website.

If a vendor's question relates to a proprietary aspect of its proposal and the question would expose proprietary information if disclosed to competitors, the vendor may submit the question in writing, conspicuously marking it as "CONFIDENTIAL." With the question, the vendor must submit a statement explaining why the question is sensitive. If the Court concurs that the disclosure of the question or answer would expose proprietary information, the question will be answered, and both the question and answer will be kept in confidence. If the Court does not concur regarding the proprietary nature of the question, the question will not be answered in this manner and the vendor will be notified.

2.3.2 Ambiguity, Discrepancies, Omissions. If a vendor submitting a proposal discovers any ambiguity, conflict, discrepancy, omission, or other error in this solicitation document, the vendor will immediately provide the Submittal Contact listed in Section 2.1 written notice of the problem and request that the solicitation document be clarified or modified. Without disclosing the source of the request, the Court may modify the solicitation document prior to the date fixed for submission of proposals by providing an

addendum to potential vendors or, if identified in Section 2.1, by posting the addendum on the Court's website.

If prior to the date fixed for submission of proposals a vendor submitting a proposal knows of or should have known of an error in the solicitation document but fails to notify the Court of the error, the vendor will propose at its own risk, and if the vendor is awarded the contract, the vendor will not be entitled to additional compensation or time by reason of the error or its later correction.

2.3.3 Contact with Court. Vendors are specifically directed NOT to contact any Court personnel or consultants for meetings, conferences, or discussions that are specifically related to this RFP at any time prior to any award of a contract. Unauthorized contact with any Court personnel or consultants may be cause for rejection of the vendor's response.

2.3.4 RFP Addenda. The Court may modify this solicitation document prior to the date fixed for submission of proposals by providing notice to potential vendors or, if identified in Section 2.1, by posting an addendum on the Court's website. If any potential vendor determines that an addendum unnecessarily restricts its ability to propose, it must notify the Submittal Contact listed in Section 2.1.1 no later than three (3) business days following the date the addendum provided or posted.

Pricing will reflect all addenda issued by the Court. Failure to do so will permit the Court to interpret the proposal to include all addenda issued in any resulting contract.

2.4 Submission of Proposals

2.4.1 Proposal Delivery. The following must be received no later than the Proposal Due Date and time specified in Section 2.1 (the "Proposal Closing Time") at the address listed in Section 2.1.1 for the Submittal Contact:

- Two unbound originals of the technical and two separate unbound originals of the cost proposal;
- 5 bound hard copies of both the technical and the cost proposals;
- Two electronic copies of the technical proposal in MS Document or PDF format, and two electronic formatted copies of the cost proposal in Excel.

All proposals must be submitted in double envelopes that are sealed. The outside envelope must be clearly marked with the RFP Number, Project Title, the Proposal Due Date, and the vendor's name. **The cost proposal must be included in the proposal in a separately sealed envelope and should be marked with "Cost Proposal" and the vendor's name.**

The hard copies and electronic copies of the technical proposal must not include any pricing information. Proposals received prior to the Proposal Closing Time that are marked properly will be securely kept, unopened until the Proposal Closing Time. Late proposals will not be considered.

All proposals must be delivered via U.S. Mail, common carrier, or hand delivery. A receipt should be requested for hand delivered material.

The vendor is solely responsible for ensuring that the full proposal is received by the Court in accordance with the solicitation requirements, prior to the date and time specified in the solicitation, and at the place specified. The Court will not be responsible for any delays in mail or by common carriers or by transmission errors or delays or missed delivery.

2.4.2 Amendment or Withdrawal of Proposals. A vendor may amend its proposal prior to the Proposal Closing Time. All amendments must be in writing and received by the Court prior to the Proposal Closing Time.

A vendor may withdraw its proposal at any time prior to the Proposal Closing Time by notifying the Submittal Contact listed in Section 2.1.1 in writing of its withdrawal. Amendments or withdrawals offered in any other manner, than described above will not be considered. Proposals cannot be amended or withdrawn after the Proposal Closing Time.

2.4.3 Mistake in Proposal. If prior to a contract award, a vendor discovers a mistake in their proposal that renders the vendor unwilling to perform under any resulting contract, the vendor must immediately notify the Submittal Contact listed in Section 2.1.1 in writing and request to withdraw the proposal. It will be solely within the Courts discretion as to whether withdrawal will be permitted. If the solicitation contemplated evaluation and award of “all or none” of the items, then any withdrawal must be for the entire proposal. If the solicitation provided for evaluation and award on a line item or combination of items basis, the Court may consider permitting withdrawal of specific line item(s) or combination of items.

2.4.4 Error in Submitted Proposals. If an error is discovered in a vendor’s proposal, the Court may at its sole option retain the proposal and allow the vendor to submit certain arithmetic corrections. The Court may, at its sole option, allow the vendor to correct obvious clerical errors. In determining if a correction will be allowed, the Court will consider the conformance of the proposal to the format and content required by the solicitation, the significance and magnitude of the correction, and any unusual complexity of the format and content required by the solicitation.

If the vendor’s intent is clearly established based on review of the complete proposal submitted, the Court may, at its sole option, allow the vendor to correct an error based on that established intent.

The total price of unit-price items or individual line items will be the product of the unit or line item price and the quantity. If the unit or line item price is ambiguous, unintelligible or uncertain for any cause, or is omitted, the unit or line item price will be the amount obtained by dividing the “extension” price by the item quantity.

2.4.5 Authorized Signatures, Validity Period of Proposals. Proposals must include the vendor name, address, telephone and facsimile numbers, and federal tax identification number. The proposal must be signed by a duly authorized officer or employee of the vendor and include the name, title, address, and telephone number of the individual who is the vendor’s designated representative.

Proposals will be valid for ninety (90) days after the Proposal Due Date specified in Section 2.1 (“Proposal Validity Date”). In the event a final contract has not been awarded by the date specified in Section 2.1, the Court reserves the right to negotiate extensions to the Proposal Validity Date.

2.4.6 Knowledge of Requirements

The vendor will carefully review all documents referenced and made a part of the solicitation document to ensure that all information required to properly respond has been submitted or made available and all requirements are priced in the proposal. Failure to examine any document, drawing, specification, or instruction will be at the vendor’s sole risk.

Vendors will be responsible for knowledge of all items and conditions contained in their proposals and in this RFP, including any Court issued clarifications, modifications, amendments, or addenda. The Court will provide notice to perspective vendors or, if identified in Section 2.1, post addenda and clarifications to the Court’s website; however, it is the vendor’s responsibility to ascertain that the proposal includes all addenda issued prior to the Proposal Due Date.

2.4.7 Independence of Proposal and Joint Proposals. Unless a vendor is submitting a joint proposal, the vendor represents and warrants that by submitting its proposal it did not conspire with any other vendor to set prices in violation of anti-trust laws.

A proposal submitted by two or more vendors participating jointly in one proposal may be submitted, but one vendor must be identified as the prime contractor and the other as the subcontractor. The Court assumes no responsibility or obligation for the division of orders or purchases among joint subcontractors.

2.4.8 Covenant Against Gratuities. Vendor warrants by signing its proposal that no gratuities, in the form of entertainment, gifts, or otherwise, were offered by the vendor or any agent, director, or representative of the vendor, to any officer, official, agent, or employee of any Court with a view toward securing award of or securing favorable treatment with respect to any determinations concerning the performance of any resulting contract. For breach or violation of this warranty, the Court will have the right to terminate any resulting contract in whole or in part. The right and remedies of the Court provided in this provision will not be exclusive and are in addition to any other rights and remedies provided by law or under the resulting contract.

2.5 Overview of Evaluation Process

2.5.1 Evaluation Committee. The Court will conduct a comprehensive, fair, and impartial evaluation of proposals received in response to this RFP. All proposals received from vendors will be reviewed and evaluated by a committee of qualified personnel (“Evaluation Committee”). The name, units, or experience of the individual members will not be made available to any vendor. The Evaluation Committee will first review and screen all proposals submitted, except for the cost proposals, according to the minimum qualifications set forth in Section 2.6.

Vendors satisfying the minimum qualifications will then be evaluated in accordance with the evaluation criteria set forth in Section 2.7. The Evaluation Committee will first review and complete the evaluation of the technical proposals, without the cost proposal. Thereafter, the cost proposals will be opened, reviewed, and evaluated to determine an overall evaluation score.

2.5.2 Reservation of Rights. The Court, in its complete discretion, may eliminate proposals that have not meet the minimum qualifications of Section 2.6, or have not scored adequately in relation to other proposals to warrant further consideration. The Court reserves the right to reject any or all proposals, in whole or in part, and may or may not waive any immaterial deviation or defect in a proposal.

The Courts waiver of an immaterial deviation or defect will in no way modify the solicitation document or excuse a vendor from full compliance with solicitation document specifications.

If a proposal fails to meet a material solicitation document requirement, the proposal may be rejected. A deviation is material to the extent that a response is not in substantial accord with the requirements of the solicitation document. Material deviations cannot be waived.

Unless otherwise specified in the solicitation, the Court may accept any item or combination of items as specified in the solicitation, of any proposal unless the vendor expressly restricts an item or combination of items in its proposal and conditions its response on receiving all items for which it provided a proposal. In the event that the vendor so restricts its proposal, the Court may consider the vendor's restriction and evaluate whether the award on such basis will result in the best value to the Court or may determine in its sole discretion that such restriction is non-responsive and renders the vendor ineligible for further evaluation.

The Court reserves the right to negotiate with vendors who have presented, in the opinion of the Evaluation Committee, the best proposal in an attempt to reach an agreement. If no contract is reached, the Court can negotiate with other vendors or make no award under this RFP. At any time the Evaluation Committee can reject all proposals and make no award under this RFP. Moreover, the Court reserves the right to reconsider any proposal submitted at any phase of the procurement. It also reserves the right to meet with vendors to gather additional information.

Proposals that contain false or misleading statements may be rejected if in the Court's opinion the information was intended to mislead the Court regarding a requirement of the solicitation document.

2.5.3 Evaluation of Cost Proposal. Cost proposals will be reviewed only if a proposal is determined to be otherwise qualified. All figures entered on the cost proposals must be clearly legible and must be printed in ink or type written. No erasures are permitted. Errors may be crossed out and corrections printed in ink or typewritten adjacent and must be initialed in ink by the person signing the proposal. If the solicitation requires the vendor to provide an electronic copy of the cost proposals and there is a discrepancy in the printed

cost proposals and the electronic copy, the pricing on the printed cost proposals will be evaluated.

Where more than one line item is specified in the solicitation, the Court reserves the right to determine the highest evaluated vendor, either on the basis of individual items, combination of items as specified in the solicitation, or on the basis of all items included in the solicitation, unless otherwise expressly provided.

2.5.4 Cash Discounts. The Court encourages vendors to offer cash discounts for prompt payment, however, unless provided elsewhere in the solicitation, cash discounts offered by vendors for the prompt payment of invoices will not be considered in evaluating offers to determine the successful vendor for award of any resulting.

2.5.5 Requests for Additional Information. The Court reserves the right to seek clarification or additional information from any vendor throughout the solicitation process. The Court may require a vendor's representative to answer questions during the evaluation process with regard to the vendor's proposal. Failure of a vendor to demonstrate that the claims made in its proposal are in fact true may be sufficient cause for deeming a proposal non-responsive.

2.5.6 Brand Names. Any reference to brand names and/or numbers in the solicitation is intended to be descriptive, but not restrictive, unless otherwise specified. Proposals offering equivalent items meeting the standards of quality specified may be considered, unless other specified, providing the proposal clearly describes the article offered and how it differs from the referenced brand. Unless a vendor specifies otherwise, it is understood that the vendor is offering a referenced brand item as specified in the solicitation. The Court reserves the right to determine whether a substitute offer is equivalent to and meets the standards of quality indicated by the brand name references, and the Court may require the supply of additional descriptive material and a sample.

2.6 Minimum Qualifications. To be considered for full evaluation and possible award, vendors must first meet the threshold minimum qualification requirements listed in the following table (minimum requirements can be met by combining experience, expertise, and resources of vendor and any proposed subcontractors).

No.	Minimum Qualifications
1	Financial Stability: This criterion will be evaluated upon Bidder's Dun & Bradstreet Report
2	5 or more years experience working with government entities/public sector customers.
3	Neither vendor nor any of its proposed subcontractors are currently under suspension or debarment by any state or federal government agency and neither vendor nor any of its proposed subcontractors are tax delinquent with the State of California or Federal Government (reference <u>Attachment E</u> , Vendor Certification Form).
4	Vendor must have all required licenses and permits to administer traffic school program

5	Vendor must show that insurance bonding requirements can be met prior to administration of program.
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The vendor must state specifically in its Executive Summary (see Section 3.1) how it will comply with each minimum qualification specified above. Subject to the Court's right in its complete discretion to waive minor deviations or defects, only those proposals that meet all of the foregoing minimum qualifications will be considered for a full evaluation and a possible contract award.

Vendors who fail to meet any of the listed minimum qualifications will be notified in writing, and will have three (3) business days from receipt of such notification to file proof that all such qualifications are met.

2.7 Evaluation Criteria. Proposals will be evaluated to determine the proposal(s) offering the best value to the Court. The evaluation will be based upon the following criteria, listed in order of descending priority. Although some factors are weighted more than others, all are considered necessary, and a proposal must be technically acceptable in each area to be eligible for award. With regards to cost, the Court reserves the right, in its sole discretion, to reject any proposal whose price is outside of the competitive range.

- a. Cost / Pricing factors.
- b. Experience on similar assignment.
- c. Reasonableness of cost projections
- d. Financial viability and stability
- e. Credentials of staff to be assigned to the project.
- g. Implementation Plan
- h. References

2.8 Interviews and Negotiations

2.8.1 Interviews. Following the initial screening of proposals, the Court reserves the right to require, and each vendor must be prepared to conduct, oral presentations and other discussions (written or verbal) on the content of its proposal. If the Court determines that interviews or presentations are required, selected vendors will be notified in writing of the date, place, time and format of the interview or presentation. Vendors will be responsible for all costs related to the interview, which, at the Court's sole discretion, may be in-person and/or by teleconference. Failure to participate in such interviews or presentations will result in a vendor's disqualification from further consideration.

2.8.2 Negotiations. If the Court desires to enter into negotiations, they will do so with one or more vendors, at their discretion. If the Court enters into negotiations and no contract is reached, the Court can negotiate with the other vendors or make no award under this RFP. The Court reserves the right to award a contract, if any, without negotiations.

2.8.3 Payment. Payment terms will be in accordance with the payment provisions of Attachment A, Contract Terms and Conditions. **THE COURT DOES NOT MAKE ANY ADVANCE PAYMENT FOR GOODS OR SERVICES**

2.8.4 News Releases. News releases pertaining to the award of any contract resulting from this solicitation may not be made by a vendor without the prior written approval of the Executive Officers of each Court as noted in Section 2.1.1.

2.9 Award of Contract. The Evaluation Committee will make a final recommendation for award of the contract. Upon award, the successful vendor(s) will be required to:

- a. execute a Contract in accordance with the Statement of Work in Section IV and the Contract Term and Conditions in Attachment A, and
- b. provide a certificate of insurance, conforming to the requirements in the General Conditions, within thirty (30) business days of award.

The period for execution of the Contract may be changed by mutual contract of the parties. Contracts are not effective until signed by both parties.

2.10 Protest Procedures

2.10.1 General. Failure of vendor to comply with the protest procedures set forth in this Section 2.10, will render a protest inadequate and untimely, and will result in rejection of the protest. **In no event will a protest be considered, if all submittals are rejected or after a contract has been executed.**

2.10.2 Prior to Submission of Proposal. An interested party that is an actual or prospective vendor with a direct economic interest in the procurement may file a protest based on allegedly restrictive or defective specifications or other improprieties in the solicitation process that are apparent, or should have been reasonably discovered prior to the submission of a proposal. Such protest must be received prior to the Proposal Closing Time.

The protestor must exhaust all administrative remedies specified in Section 2.3.1, Request for Clarification or Modifications; Section 2.3.2, Ambiguity, Discrepancies, Omissions; Section 2.3.4, RFP Addenda; and this Section as applicable, prior to submitting the protest. Failure to do so may be grounds for denying the protest.

2.10.3 Post-Award. A vendor submitting a proposal may protest the award based on allegations of improprieties occurring during the proposal evaluation or award period if it meets all of the following conditions:

- a. The vendor has submitted a proposal that it believes to be responsive to the solicitation document.
- b. The vendor believes that its proposal meets the administrative and technical requirements of the solicitation, proposes items and/or services of proven quality and performance, and offers a competitive cost; and
- c. The vendor believes that the Court has incorrectly selected another vendor submitting a proposal for an award.

Such protests must be received no later than five (5) business days after the protesting party receives a no-award notification.

2.10.4 Form of Protest. A vendor who is qualified to protest should submit the protest to the individual listed in the Submission of Proposal section of this RFP who will forward the matter to the appropriate Contracting Officer.

- a. The protest must be in writing and sent by certified or registered mail or delivered personally to the address noted above. If the protest is hand-delivered, a receipt must be requested.
- b. The protest will include the name, address, telephone and facsimile numbers of the party protesting or their representative.
- c. The title of the solicitation document under which the protest is submitted will be included.
- d. A detailed description of the specific legal and factual grounds of protest and any supporting documentation will be included.
- e. The specific ruling or relief requested must be stated.

The Court, at its discretion, may make a decision regarding the protest without requesting further information or documents from the protestor. Therefore, the initial protest submittal must include all grounds for the protest and all evidence available at the time the protest is submitted. If the protestor later raises new grounds or evidence that was not included in the initial protest but which could have been raised at that time, the Court will not consider such new grounds or new evidence.

2.10.5 Determination of Protest Submitted Prior to Submission of Proposal. Upon receipt of a timely and proper protest based on allegedly restrictive or defective specifications or other improprieties in the solicitation process that are apparent, or should have been reasonably discovered prior to the submission of a proposal, the Court will provide a written determination to the protestor prior to the Proposal Closing Time. If required, the Court may extend the Proposal Closing Time to allow for a reasonable time to review the protest. If the protesting party elects to appeal the decision, the protesting party will follow the appeals process outlined below and the Court, at its sole discretion, may elect to withhold the contract award until the protest is resolved or denied or proceed with the award and implementation of the contract.

2.10.6 Determination of Protest Submitted After Submission of Proposal. Upon receipt of a timely and proper protest, the Court will investigate the protest and will provide a written response to the vendor within a reasonable time. If the Court requires additional time to review the protest and is not able to provide a response within ten (10) business days, the Court will notify the vendor. If the protesting party elects to appeal the decision, the protesting party will follow the appeals process outlined below and the Court, at its sole discretion, may elect to withhold the contract award until the protest is resolved or denied or proceed with the award and implementation of the contract.

2.10.7 Appeals Process. The Contracting Officer's decision will be considered the final action by the Court unless the protesting party thereafter seeks an appeal of the decision by filing a request for appeal with the [Court Executive Officer] noted in Section 2.1.1 within five (5) calendar days of the issuance of the Contracting Officer's decision.

The justification for appeal is limited to:

- a. Facts and/or information related to the protest, as previously submitted, that were not available at the time the protest was originally submitted; or
- b. The Contracting Officer's decision contained errors of fact, and that such errors of fact were significant and material factors in the Contracting Officer's decision; or
- c. The decision of the Contracting Officer was in error of law or regulation.

The request for appeal will include:

- a. name, address telephone and facsimile numbers of the vendor filing the appeal or their representative;
- b. a copy of the Contracting Officer's decision;
- c. legal and factual basis for the appeal; and
- d. ruling or relief requested. Issues that could have been raised earlier will not be considered on appeal.

Upon receipt of a request for appeal, the [Court Executive Officer] will review the request and the decision of the Contracting Officer and will issue a final determination. The decision of the Court Executive Officer will constitute the Courts final action.

2.10.8 Protest Remedies. If the protest is upheld, the Court will consider all circumstances surrounding the procurement in its decision for a fair and reasonable remedy, including the seriousness of the procurement deficiency, the degree of prejudice to the protesting party or to the integrity of the competitive procurement system, the good faith efforts of the parties, the extent of performance, the cost to the Court, the urgency of the procurement, and the impact of the recommendation(s). The Court may recommend any combination of the following remedies:

- a. terminate the contract for convenience;
- b. re-compete the contract;
- c. issue a new solicitation;
- d. refrain from exercising options to extend the term under the contract, if applicable;
- e. award a contract consistent with statute or regulation; or
- f. other such remedies as may be required to promote compliance.

III. PROPOSAL FORMAT AND CONTENT

Responsive proposals should provide straightforward, concise information that satisfies the requirements of this solicitation. Expensive bindings, color displays, and the like are not necessary or desired. Emphasis should be placed on conformity to the Court's instructions, requirements of this solicitation, and the completeness and clarity of content.

3.1 Executive Summary

3.1.1 Executive Summary Content. The vendor must provide an Executive Summary of its proposal. The Executive Summary should be a "high-level" general overview of how the vendor proposes to accomplish the requirements of this RFP. The Executive Summary should demonstrate the vendor's understanding of the requirements. The vendor must also address in this section how it meets the minimum qualification requirements in Section 2.6.

3.1.2 Vendor Information, Validity, and Authorized Signature. The Executive Summary should include the vendor information, validity period, and authorized signature, as required in Section 2.4.5.

3.2 Company and Subcontractor Information

3.2.1 Company Background Information. The Court requires the vendor to be a reputable company of strong financial standing experience in traffic school monitoring. The vendor's proposal must provide the information requested below. If the vendor is a joint venture, information about the prime subcontractor and the Subcontractor must be submitted separately. The information to be provided is as follows:

- a. Complete name and address.
- b. Federal tax identification number. Note that if a sole proprietorship using its social security number is awarded a contract, the social security number will be required prior to finalizing a contract.
- c. If incorporated, state in which incorporated.
- d. A short narrative description of the vendor's organization, including organization charts and indication of company officers where applicable.
- e. Principal type of business.
- f. Total number of years in business.
- g. Number of years providing products and services similar in size and scope to those requested in this RFP.
- h. An audited profit and loss statement and balance sheet for the vendor's last three (3) fiscal years. If a company is privately owned, this information will be kept confidential by the Court. These financial statements must be contained in a separate volume.
- i. Significant transactional events in the past five (5) years such as: bankruptcies, mergers, acquisitions, initial public offerings (IPO's).
- j. Annual contract value of the vendor's three (3) largest contracts for similar products and services in the past three (3) years.
- k. Percent of turnover of service staff for each of the last three (3) years in the vendor's organization that will be responsible for providing products and services described in this RFP (e.g. Account Manager, Customer Service personnel, etc.).

1. If subcontractors are proposed for this RFP, describe the vendor's contract management process for subcontractors included in the vendor's proposal and provide copies of signed formal contracts (e.g., teaming contracts or any other legally binding document), if any exist, between the vendor and each proposed subcontractor.

3.2.2 Subcontractors. If subcontractors are proposed for this RFP, provide the following information for each Subcontractor:

- a. Subcontractor name and address.
- b. federal tax identification number. Note that if the subcontractor is a sole proprietorship using its social security number, the social security number will be required prior to finalizing a contract.
- c. if incorporated, identify the state of incorporation.
- d. short narrative of the subcontractor's organization, including organization charts and indication of company officers where applicable.
- e. principal type of business.
- f. total number of years in business.
- g. number of years providing services similar in size and scope to those requested in this RFP.
- h. audited profit & loss statement and balance sheet for the Subcontractor's last three fiscal years. If a company is privately owned, this information will be kept confidential by the Court. These financial statements must be contained in a separate volume.
- i. significant transactional events in the past five (5) years such as: bankruptcies, mergers, acquisitions, initial public offerings (IPO's).
- j. percent of turnover of service staff for each of the last three (3) years in the Subcontractor's organization that will be responsible for providing services described in this RFP (e.g. Account Manager, Customer Service personnel, etc.).

3.3 Company Profile and California Locations. Vendor will provide a short description of its company. In addition, the vendor will list all of its California locations and will include a statement as to whether it can provide products and services to each Court location, as listed in Attachment D. The vendor will list any locations where it cannot provide products and services.

3.4 Experience and Qualifications

3.4.1 Prior Experience and References. The Court requires the vendor and its subcontractors to have prior experience in all aspects of the products and services described in this RFP for customers similar to or with relevance in the size, complexity and scope of this RFP. Vendor will:

Describe the vendor's experience as it pertains to providing products and services similar in size, complexity and scope to those required under this RFP and in the manner required pursuant to this RFP.

Provide the names, addresses, and telephone numbers for a minimum of five (5) customers for whom the vendor has provided similar products and services within the last 18 months.

The vendor should include a brief description of the scope of products and services provided to the customer and the duration of the contract. The Court may contact some or all of the references provided in order to determine the vendor's performance record. The Court reserves the right to contact references other than those provided in the proposal and to use the information gained from them in the evaluation process.

If the vendor's cost proposal includes re-manufactured or compatible products, the vendor's references must include customers that have used the re-manufactured or compatible products.

3.4.2 Subcontracts. If the vendor intends to subcontract, describe the vendor's experience with each of the proposed subcontractors. For each proposed subcontractor provide the names, addresses, and telephone numbers for a minimum of three (3) customer references, for products and services similar to those described in this RFP. The vendor should include a brief description of the scope of products and services provided to the customer and the duration of the contract.

3.5 Technical Approach and Methodology

3.5.1 Work Plan and Methodology. Vendor will provide a project plan, describing how the vendor intends to provide the requested services. The description will include, but is not limited to, the following:

- a. account team structure and role (including description of sales contact process, account team support, and periodic account review process)
- b. communication process with the Court
- c. training (initial and ongoing)
- d. time schedule
- e. program evaluation

3.5.2 Customer Service. Describe the level of customer service that will be provided, including procedures that will ensure consistency and problem escalation and resolution. The description should include, but is not limited to:

- a. customer service organizational structure
- b. contact process (phone, email, fax, etc.)
- c. follow-up process
- d. internal procedures to track customer service contact and resolution
- e. escalation process to resolve outstanding customer service issues

3.5.3 Reports. Vendor will describe the common reports that are available to the Court. Include the type of report, the information provided in the report, the frequency of the report, the process to request the report, and the process used to deliver the report. Vendors are encouraged to include a sampling of common reports.

In addition, vendor will describe its capabilities to provide quarterly reports as required in Section 4.1.10.

3.5.4 Invoicing

Vendor will describe its invoicing process, including but not limited to the following:

- a. description of vendor's billing system
- b. availability of consolidated billing and process for consolidated billing
- c. frequency of billing (weekly, monthly, etc.)
- d. examples of invoices currently in use

3.6 Cost Proposal

3.6.1 Government Rates. It is expected that all vendors responding to this solicitation will offer the vendor's government or most favorable comparable rates.

3.6.2 Pricing and Price Adjustments. The Court is exempt from federal excise taxes and no payment will be made for any taxes levied on the vendor's or any Subcontractor's employee's wages. The Court will pay for any applicable State of California or local sales or use taxes on the products provided or the services rendered. Taxes will be included as a separate line item on a vendor's invoice.

The prices proposed in the vendors response will be valid for a minimum of 12 months after any resulting contract is signed. The vendor's cost proposal must describe how future price increases will be minimized and capped and how both increases and decreases will be passed on to Court if the contract is renewed after the initial term. The vendor must explain the proposed process to implement price changes, and how the Court will be notified.

3.7 Required Proposal Forms and Documents

3.7.1 Required Forms

- a. Cost Proposal – Attachment C (sealed in a separate envelope in accordance with Section 2.4.1)
- b. Vendor Certification Form – Attachment E
- c. Statement of Acceptance of Terms, in accordance with Section 3.7.2
- d. References – Attachment F

3.7.2 Acceptance of Terms. The vendor's proposal must include a statement as to whether the vendor accepts the Contract Terms and Conditions in Attachment A or whether the vendor takes any exception to those terms. The vendor will be deemed to have accepted such terms and conditions, except as is expressly called out in the vendor's proposal. If exceptions are taken, vendor must submit a "redlined" version of the term or condition showing all modifications proposed by the vendor.

The vendor must provide an explanation as to why the modification is required. The vendor's willingness to accept the General Conditions, with minor clarifications, will be an affirmative factor in the evaluation of the vendor's proposal.

Although the Court will consider alternate language proposed by a vendor, the Court will not be bound by contract language received as part of a prospective vendor's response. If

the vendor requires that the Court be bound by some or all of the vendor's proposed contract language, the proposal may be considered non-responsive and may be rejected.

IV. STATEMENT OF WORK

4.1 Description of Services to be Provided. It is the intent of these specifications, terms and conditions to describe the Superior Court of California, County of Alameda's (Court) requirements for the administration of the Court's Traffic School Program pursuant to Section 11205 of the California Vehicle Code (CVC).

Through the solicitation of competitive bids it is anticipated that the Court will be in a position to select a bidder capable of providing the most efficient, successful and cost effective traffic school services program(s).

4.1.1 General Description. The Courts require additional support staff to assist its staff in providing a Traffic School Option to traffic violators who choose to avail themselves of same. The selected vendor will provide the necessary support staff to the participating court. There are five (5) Superior Courts within Alameda County. The selected Contractor will be responsible for the hiring, assigning of daily duties and performance evaluation of the support staff.

Contractor responsibilities include, but are not limited to:

1. classroom Monitoring;
2. business Office Audits;
3. printing and distribution of the Court referral list;
4. investigation and special reports of deficiencies found during monitoring and auditing services;
5. periodic meetings with traffic schools;
6. supervision of all monitoring and auditing staff;
7. travel expenses of all monitoring and auditing staff;
8. investigate, follow-up and respond to complaints regarding traffic schools, and to notify the Court as to such findings.

The selected Contractor will be in good standing within the State of California. The selected Contractor will be a not-for-profit organization and must understand and comply with all CVC sections applicable to the requested services.

4.1.2 Technical Specifications for Traffic School Monitoring. Vendor will:

1. provide monitoring services of California licensed adult and juvenile traffic schools pursuant to CVC Section 11205. Each traffic school classroom will be monitored every ninety (90) days. Currently there are approximately fifty-eight (58) accredited traffic schools. These numbers may increase or decrease during the term of this contract.
2. furnish sufficient, qualified support staff to perform duties, which may include handling monies, money orders, bank notes, negotiable and non-negotiable instruments, purchase orders, credit cards, credit card information or other valuable property. Contractor employees will perform such duties under the Contractor's general supervision.

3. duties of vendor support staff/employees will be assigned by the traffic managers assigned by each of the Court's locations.
4. procure items requested by the Court using funds collected pursuant to CVC section 11205.
5. provide monthly monitoring reports to the Court.
6. provide sufficient and qualified staffing to the Courts. The staff will be selected by the Court and the vendor, but will remain employees of the vendor. Vendor will pay the staff an hourly rate agreed to by the Court. Health Benefits allowance will be included in the hourly rate billed to the Court for full time employees. Hourly rate will include, health benefits allowance and will be constituted as part of the vendors' administrative overhead costs and included in the lump sum costs contained in Attachment C – Cost Proposal.
7. provide the Court with a statewide list of schools and Home/Alternative Study School Programs as permitted under CVC 11205 for distribution to the public.
8. invoice the Court for all services rendered on a monthly basis.
9. review and Monitor all Home/Alternative Study Traffic School Programs including Internet Traffic Schools, seeking approval by the Courts for use by traffic violators referred by the Court to such diversion program. There will be no fees assessed to the Court for providing these services.
10. submit course curriculum and program material for review and approval as needed or as requested by the Court.
11. develop and provide tests to approved providers. The tests will be structured to ensure that students review the entire program in order to obtain a passing score.
12. remain in compliance with all CVC provisions during the term of any contract, which may be awarded hereby.
13. have an existing Administration, Review and Monitoring Traffic School Contract with another public agency.

4.1.3 Customer Service. The vendor's customer service process will ensure that all customer service issues are addressed consistently manner, including problem escalation and resolution. The customer service process will include, but is not limited to:

- a. customer service organizational structure
- b. contact process (phone, email, fax, etc.)
- c. follow-up process
- d. internal procedures to track customer service contact and resolution
- e. escalation process to resolve outstanding customer service issues

4.1.4 Reports. The vendor will provide monthly monitoring reports based on the findings of the investigations that are completed. The reports will contain information on any violations found, new schools to be added or removed from the list, or letters reporting a specific activity that may have taken place.

If disciplinary action is recommended for any violation or offense found, the Court will determine what final action is taken. This will be communicated to the contractor in writing, upon receipt and review of the documentation.

4.1.5 Acceptance of Service. By submitting a proposal, Bidder affirms that he/she/it accepts the following conditions, any of which may be included into a contract which may be entered into between the Court and Bidder:

1. The Court may require whatever supporting documentation it deems necessary relative to Bidder's financial ability to complete the contract.
2. The Court reserves the right to ask for further information from Bidder, as it may deem appropriate.
3. The Court reserves the sole right to evaluate Bidder.
4. The Court reserves the right to reject all proposals.
5. The Court Executive Officer, or designee, on behalf of the Court shall conclude contract terms and conditions with the winning bidder.

The Court may cancel this project at any time up until the award of the contract, without incurring any cost or obligation. In the event agreement cannot be reached with the selected bidder, the Court reserves the right to select an alternative proposal

4.2 Invoicing. Invoices must be submitted on a monthly basis, and will be paid within 30 days of receipt.

4.3 Samples. A sample invoices must be provided and approved prior to the issuance of a Purchase Order by the Court

V. ATTACHMENTS

Attachment A	Contract Terms & Conditions
Attachment B:	Statement of Work
Attachment C:	Payment Provisions & Cost Proposal
Attachment D	Court Locations
Attachment E	Vendor Certification Form
Attachment F	References

ATTACHMENT A: Contract Terms and Conditions

1. **Accounting.** Contractor will maintain a system of accounting and internal controls that meets Generally Accepted Accounting Principles (U.S. GAAP).
2. **Audit; Retention of Records**
 - A. **Audit.** Upon reasonable notice, Contractor will provide to Court, to any federal or state entity with monitoring or reviewing authority, or to Court's authorized representatives, access to and the right to examine and audit all records and documents relating to performance and billing under this Agreement, and, as necessary, to determine compliance with relevant federal, state, and local statutes, rules, and regulations. Contractor agrees to provide Court with all relevant information requested, and will permit access to its premises at reasonable times, for the purpose of interviewing employees and inspecting and copying any relevant records.
 - B. **Retention of Records.** Contractor will maintain all financial Data, supporting documents, and all other records relating to performance and billing under this Agreement for a period in accordance with state and federal law. The minimum retention period will be four years from the date of the submission of the final payment request or until audit findings are resolved, whichever is later.
3. **Assignment.** Contractor will not assign its rights or obligations under this Agreement, either in whole or in part, without the prior written consent of Court, in the form of an Amendment.
4. **Choice of Law; Jurisdiction and Venue**
 - A. **Choice of Law.** This Agreement and performance under it will be exclusively governed by the laws of the State of California without regard to its conflict of law provisions.
 - B. **Jurisdiction and Venue.** Contractor irrevocably consents to the exclusive jurisdiction and venue of the state and federal courts located in Alameda County, California in any legal action concerning or relating to this Agreement.
5. **Certifications and Representations.** **Contractor's signature on the cover page shall also serve as certification for the following paragraphs, A-F.**
 - A. **ADA Compliance.** Contractor certifies that it and its Subcontractors comply with applicable provisions of the Americans with Disabilities Act ("ADA") of 1990 (42 U.S.C. Sections 012101 et seq.), which prohibits discrimination on the basis of disability, as well as with all applicable regulations and guidelines issued pursuant to the ADA.
 - B. **FEHA Compliance.** Contractor certifies that it and its Subcontractors comply with all applicable provisions of the Fair Employment and Housing Act, Calif. Gov. Code, § 12990 et seq., and all applicable regulations promulgated under Calif. Code of Regulations, title 2, § 7285 et seq.

Contractor certifies that it and its Subcontractors will not unlawfully discriminate against any employee or applicant for employment because of race, religion, color, national origin, ancestry, disability, marital status, age (over 40), sex, or sexual orientation. Contractor will ensure that the evaluation and treatment of employees and applicants for employment are free of such discrimination.

Contractor certifies that it and its Subcontractors will not unlawfully harass, including sexually harass, any persons with whom Contractor or its Subcontractors interact in the performance of this Work. Contractor and its Subcontractors will take all reasonable steps to prevent such harassment.

- C. Drug-free Workplace.** Contractor certifies that it and its Subcontractors will provide a drug-free workplace as required by Calif. Gov. Code, § 8355-8357.
- D. Labor/Collective Bargaining.** Contractor certifies that it and its Subcontractors will provide notice of their obligations under the foregoing provisions to labor organizations with which it or they have collective bargaining agreements, prior to execution of this Agreement.
- E. National Labor Relations Board (NLRB) Certification.** Contractor certifies that, within the immediately preceding two-year period, no more than one final, unappealable finding of contempt of court by a federal court has been issued against Contractor because of Contractor's failure to comply with an order of the National Labor Relations Board.
- F. Prohibition Against Hiring Court Employees.** Contractor certifies and will require all Subcontractors to certify to the following:

“Former Court employees will not be offered employment position for two years from the date of separation, if that employee participated in the decision-making process relevant to the Agreement, or for one year from the date of separation if that employee was in a policy-making position in the same general subject area as the proposed Agreement, within the prior twelve-month period of Court employment.”

6. Changes in Work; Stop Work

A. Changes in Work.

- 1. Court reserves the right to require Contractor to make changes in the Work, as set forth in Attachment B, Statement of Work, which may include additions, deletions, or modifications to the Work, or changes in the timing or level of effort for the Work.
- 2. For any change proposed by Court or Contractor, Contractor will submit in writing:
 - a. a description of the proposed change and the reasons for the change;
 - b. a summary of the total compensation to be paid Contractor with a breakdown of tasks and costs, including any reduction in work or costs resulting from the change; and
 - c. a statement of the expected impact on schedule.
- 3. If Court and Contractor agree on a change, Court will issue an Amendment documenting the change, for the parties' execution.
- 4. If the parties cannot agree to the terms of a change, Contractor will proceed diligently with Work unless otherwise directed by Court, and any continuing disagreement will follow the process set forth in the provisions entitled “Dispute Resolution.” Contractor should not proceed with any change prior to receiving a written directive or Amendment from Court. All costs for changes performed by Contractor without Court's prior written approval will be at Contractor's sole risk and expense.

B. Stop Work.

1. Court may, at any time, by delivery of a written Stop Work Order to Contractor, require Contractor to stop any or all of the Work, for ninety days after the Stop Work Order is delivered to Contractor, and for any further period to which the parties may agree.
2. Upon receipt of the Stop Work Order, Contractor will immediately comply with its terms and take all reasonable steps to minimize the costs incurred to Court during the applicable Stop Work period. Within ninety days after a Stop Work Order is delivered to Contractor, or within any mutually agreed extension of that period, Court will either cancel the Stop Work Order or terminate the Work, as provided in Section 21 (Termination).
3. If a Stop Work Order is cancelled, or the period of the Stop Work Order or any extension thereof expires, Contractor will resume Work. Court may make an equitable adjustment in the delivery schedule, the Contract Amount, or both, if (a) the Stop Work Order increases Contractor's costs or the time required for performance; and (b) Contractor asserts its right to an equitable adjustment within thirty days after the end of the applicable Stop Work period.
4. If a Stop Work Order is not canceled and the Work covered by the Stop Work Order is terminated other than for cause, Court may allow reasonable costs resulting from the Stop Work Order.
5. Court will not be liable to Contractor for loss of profits because of any Stop Work Order.

7. Confidential Information

- A. Requirements of Strict Confidence.** While performing Work under this Agreement, Contractor and its Subcontractors may gain access to Confidential Information that, if disclosed to Third Parties, may be damaging to Court, its personnel, court users, or other government entity. Neither Contractor nor its Subcontractors acquires any right or title to the Confidential Information, and Contractor and its Subcontractors agree not to disclose any Confidential Information to any Third Party. All Confidential Information disclosed to Contractor or its Subcontractor will be held in strict confidence and used only in performance of Work under this Agreement. In the event of any unauthorized disclosure or loss of Confidential Information, Contractor will immediately provide Notice to Court, with pertinent details of the unauthorized disclosure or loss, and any remedial measures taken.
- B. Permissible Disclosures.** Contractor may disclose Court's Confidential Information on a "need to know" basis to Contractor's employees and Subcontractors and any representatives of Court that are working on the project. Additionally, Contractor may disclose the Confidential Information, to the extent necessary to:
- i. comply with any applicable law, rule, regulation, or ruling;
 - ii. respond to any enforceable summons or subpoena; or
 - iii. enforce its rights under this Agreement.

8. Conflict of Interest; Prohibition Against Gratuities

A. Conflict of Interest

1. Contractor covenants that it and its Subcontractors presently have no interest, and will acquire no interest, which would directly or indirectly conflict in any manner

or to any degree, with the full and complete performance required under this Agreement. Contractor further agrees to submit full disclosure statements, if required by law to do so, pursuant to the requirements of the California Fair Political Practices Act or any other applicable federal or state law, regulation, or conflict of interest code.

- 2 Contractor and its Subcontractors and employees will not participate in proceedings that involve the use of Court funds or that are sponsored by Court if the Contractor, its Subcontractors, or their employees, principals, partners, family members, or organizations have a financial interest in the outcome of the proceedings.
- 3 Contractor and its Subcontractors and employees will not engage in actions resulting in, or creating the appearance of:
 - a. use of an official position with the government for private gain;
 - b. preferential treatment to any person associated with this Work or Agreement;
 - c. impairment of Court's independence or impartiality;
 - d. a decision made outside official channels; or
 - e. adverse effects on the confidence of the public in the integrity of Court.

B. Prohibition Against Gratuities.

- 1 Contractor covenants that no gratuities, in the form of entertainment, gifts, or otherwise, were offered by Contractor or any agent, director, or representative of Contractor, to any officer, official, agent, or employee of Court, in an effort to secure the Agreement or favorable treatment with respect to any determinations concerning the performance of the Agreement.
2. For any breach or violation of this covenant, Court has the right to terminate the Agreement for cause, wither whole or in part. Any loss or damage sustained by Court in procuring, on the open market, replacement goods or services that Contractor agreed to provide, will be borne and paid for by Contractor. Court's rights and remedies under this provision are in addition to any other rights and remedies provided by law or under this Agreement.

9. Consideration. The consideration paid to Contractor is the entire compensation for all Work performed under this Agreement, including all of Contractor's expenses incurred, such as travel and per diem expenses, unless otherwise expressly provided, as set forth in Attachment C (Payment).

A. Payment Does Not Imply Acceptance of Work. Court's payment will not relieve Contractor from its obligation to replace unsatisfactory Work, even if the unsatisfactory character of such Work may have been apparent or detected at the time such payment was made. Work, Data, or components that do not conform to requirements of this Agreement will be rejected, and will be replaced by Contractor, without delay or additional cost to Court.

B. Disallowance. If Contractor receives payment from Court for a service or reimbursement that is later disallowed or rejected by the Court, Contractor will promptly refund the disallowed amount to Court upon Court's request. At its option, Court may offset the amount disallowed from any payment due to Contractor, under this Agreement or any other agreement.

10. Contractor Status

A. Independent Contractor.

- 1 Contractor, Subcontractors, and their officers, agents, employees, and all others

acting on behalf of Contractor for this Work, act as independent contractors and not as Court agents, officers or employees. Contractor has no authority to bind or incur any obligation on behalf of Court. Except as expressly provided in Attachment B (Statement of Work), Contractor has no authority or responsibility to exercise any rights or power vested in Court.

- 2 This Agreement will not be considered under any circumstance to create a joint-venture relationship.
- 3 If any governmental entity concludes that Contractor is not an independent contractor, Court may terminate this Agreement immediately upon Notice. Alternatively, Contractor may agree to a reduction in Court's financial liability, so that Court's total costs under this Agreement do not exceed the originally contemplated amount.

B. Contractor's Employees.

- 1 Contractor's employees will be entirely and exclusively under the direction, supervision, and control of Contractor. All terms of employment including hours, wages, working conditions, discipline, hiring, and termination, or any other employment issues or requirements of law, will be determined by Contractor.
- 2 Contractor will issue W-2 forms or other forms as required by law for income and employment tax purposes for all of Contractor's employees, consultants, and independent contractors.
- 3 If the Internal Revenue Service or any other federal or state governmental entity should investigate or challenge Contractor's independent status with respect to Court, the parties agree that (i) each will inform the other party of such investigation or challenge; and (ii) Court will have the right, but not the obligation, to participate in any discussion or negotiation occurring with the federal or state entity, regardless who initiates such discussions or negotiations.
- 4 Contractor will indemnify and hold Court harmless from all claims, costs, and liabilities resulting from third-party actions alleging an employment relationship between Court and any Contractor or Subcontractor personnel.

C. Exclusive Control of Means and Method of Performance. Contractor will determine the method, details, and means of performing or supplying the Work under this Agreement. Contractor will be responsible to Court only for the requirements and results specified in this Agreement and more particularly as set forth in Attachment B – Statement of Work, and will not be subjected to Court's control with respect to the physical action or activities of Contractor in fulfillment of this Agreement. Contractor will have the "right to control" and bear the sole responsibility for the job site conditions and safety.

D. Permits, Laws, and Regulations.

- 1 Contractor must observe and comply with all applicable laws, rules, and regulations affecting the Work. During the term of this Agreement, Contractor will obtain and keep in full force and effect, all permits and licenses necessary to accomplish the Work. Such permits and licenses will be made available to Court, upon request.
- 2 Contractor will promptly provide Notice to Court of any conflict discovered between the Agreement and any applicable laws, rules, regulations, and/or permits and licenses, and await resolution of the conflict. If Contractor proceeds with the Work in question without resolution of the conflict, Contractor will be solely liable

for any costs, fines, penalties, or damages that accrue, including costs for remedial work required to comply with such requirements.

E. Subcontracting.

- 1 Contractor will not engage a Subcontractor to perform any portion of this Work, without the express written consent of Court. Any subcontracting without Court's written consent is a material breach of this Agreement.
- 2 Contractor warrants and represents that all Subcontractors will be subject to the same terms and conditions applicable to Contractor under this Agreement. Contractor will incorporate this Agreement as the prime agreement in any subcontracting relationship. Contractor will be liable for all Subcontractor acts or omissions, including indemnity obligations.

F. Signature Authority. Contractor has all requisite power and authority to conduct its business, and to execute and perform the Agreement.

11. Dispute Resolution. Court and Contractor will attempt, in good faith, to resolve any disputes informally. Contractor will meet with Court's Project Manager or other designated representative to discuss the matter and any actions necessary to resolve a dispute.

A. Escalation.

- 1 If a dispute remains unresolved following Notice by either party, each party's Chief Executive Officer ("CEO") or designated representative will meet to exchange information and attempt resolution within fifteen days of the effective date of the Notice.
- 2 If the matter is not resolved as set forth in section 11.A.1, the aggrieved party will submit a second Notice which will:
 - a. provide detailed factual information;
 - b. identify the specific provisions in this Agreement on which any demand is based;
 - c. advise if the demand involves a cost adjustment and, if so, provide the exact amount, accompanied by all supporting records; and
 - d. attach a declaration that the demand is made in good faith, the supporting data are accurate and complete, and the amount requested properly reflects the necessary adjustment. Notice will be signed by an authorized representative of the aggrieved party.
- 3 Each party will comply with reasonable requests for additional information. Any additional information will be provided within fifteen days after receipt of a written request, unless otherwise agreed.

B. Confidentiality During Dispute Resolution.

- 1 All dispute resolution negotiations are considered confidential, and will be treated as compromise and settlement negotiations, to which California Evidence Code § 1152 applies.
- 2 Pending final resolution of any dispute, Contractor agrees to proceed diligently with the performance of the Work, including Work associated with the dispute, unless otherwise directed by Court. Contractor's failure to diligently proceed in accordance with Court's instructions will be considered a material breach of the Agreement.

12. Force Majeure

- A. Force Majeure events include, but are not limited to:
1. catastrophic acts of nature, or public enemy;
 2. civil disorder;
 3. fire or other casualty for which a party is not responsible; and
 4. quarantine or epidemic.

The party asserting a Force Majeure event will immediately provide Notice to the other party of the occurrence and nature of the Force Majeure event, and its expected impact on schedule. The party claiming Force Majeure will use commercially reasonable efforts to continue or resume performance, including alternate sources or means. Contractor will have no right to additional payment for costs incurred as a result of a Force Majeure event.

- B. Any assertion of a Force Majeure event by Subcontractors will be attributed to Contractor.

13. Indemnification

- A. To the fullest extent permitted by law, Contractor will indemnify, hold harmless, and defend (with counsel satisfactory to the Court) Court and its agents, officers, and employees from and against any and all claims, damages, losses, judgments, liabilities, expenses, and other costs, including litigation costs and attorneys' fees, arising or resulting from, or in connection with, the performance or breach of this Agreement by Contractor or its officers, employees, agents, representatives, or Subcontractors. Such indemnification will not include loss, damage, or expense arising from the sole negligence or willful misconduct of Court or its agents, officers, and employees.
- B. For Contractor's acts, errors, or omissions which are covered by Contractor's Professional Liability insurance, Contractor will provide the above indemnification for that proportion of damages, costs, and liabilities that are attributed to Contractor, or any of its Subcontractors, but not for Court's proportionate share of liability.
- C. Contractor's obligation to defend, indemnify, and hold Court and its agents, officers, and employees harmless is not limited to, or restricted by, any requirement in this Agreement that Contractor procure and maintain a policy of insurance.

14. Insurance

A. General Insurance Requirements. Contractor will obtain and maintain the minimum insurance set forth in subparagraph B, below. By requiring such minimum insurance, Court will not be deemed or construed to have assessed the risks applicable to Contractor. Contractor will assess its own risks, and if it deems appropriate and/or prudent, maintain greater limits and/or broader coverage. For full coverage, each insurance policy shall be written on an "occurrence" form; excepting that insurance for professional liability, when required, may be acceptable on a "claims made" form. If coverage is approved and purchased on a "claims made" basis, Contractor warrants continuation of coverage, either through policy renewals or the purchase of an extended discovery period, for three years from the date of completion of the Work.

B. Minimum Scope & Limits of Coverage.

Contractor will maintain the following coverages:

1. Workers' Compensation at statutory requirements of the state of residency.

2. Employers' Liability with minimum limits of **\$1,000,000.00** for each accident.
3. Commercial General Liability Insurance with minimum limits of **\$1,000,000.00** for each occurrence, Combined Single Bodily Injury and Property Damage and Personal Injury. If coverage is subject to an aggregate limit, that aggregate limit will be twice the occurrence limit.
4. Business Automobile Liability Insurance with minimum limits of \$1,000,000.00 for each occurrence, Combined Single Limit Bodily Injury and Property Damage, including owned and non-owned and hired automobile coverage, as applicable.
5. Excess coverage, at the same limits specified for Comprehensive General Liability: Contractual Liability, Independent Contractor, Broadform Property Damage, Personal Injury, Product, and Completed Operation coverage.

C. Deductibles and Self-Insured Retentions. Any deductibles or self-insured retentions must be declared to, and approved by, Court. The deductible and/or self-insured retentions will not limit or apply to Contractor's liability to Court and will be the sole responsibility of Contractor.

D. Endorsements; Additional Insureds.

The General Liability policy will contain, or be endorsed to contain, the following provisions:

1. Court, its officers, officials, employees
2. To the extent of Contractor's negligence, Contractor's insurance coverage will be primary insurance as respects Court, its officers, officials, employees and agents. Any insurance and/or self-insurance maintained by Court, its officers, officials, employees or agents will not contribute with the insurance, or benefit Contractor in any way;
3. Contractor's insurance will apply separately to each insured against whom a claim is made and/or lawsuit is brought, except with respect to the limits of the insurer's liability;
4. Contractor will provide Court certificates of insurance satisfactory to Court, evidencing all required coverages before Contractor begins any Work, and complete copies of each policy upon Court's request;
5. If at any time, the foregoing policies become unsatisfactory to Court, as to form or substance, or if a company issuing any such policy becomes unsatisfactory to Court, Contractor will, upon Notice from Court, promptly obtain a new policy, and submit the same to Court, with the appropriate certificates and endorsements, for approval;
6. All of Contractor's policies will be endorsed to provide Notice to Court of cancellation, nonrenewal, and reduction in coverage, within fifteen days, mailed to the Court's representative for Notices named on the Contract Cover Sheet. Such notice will reference the relevant project, and contract number.

E. Waiver of subrogation. Contractor and its insurance carrier waive any and all rights of subrogation against the Judicial Branch Entities. This waiver will be reflected on the Certificate of Insurance, provided by Contractor. If Contractor fails to obtain the appropriate waivers of subrogation, additional insured status, or certificates of insurance from carrier, Contractor will indemnify Court from all costs and liability caused by Contractor's breach.

15. Limitation of Liability. Court will not be liable to Contractor, its officers, employees, Subcontractors, or Third Parties for any indirect, special, or consequential damages, including

lost profits or revenue, arising from or relating to this Agreement, regardless whether Court was advised of the possibility of such loss or damage. In no event will Court's liability for direct damages arising from or related to this Agreement, for any cause whatsoever, and regardless of the form of action, whether in contract or in tort, exceed the amounts paid to Contractor by Court under this Agreement.

16. Modification. No modification or change to this Agreement will be valid without written approval by Court, in the form of an Amendment, including any changes to Attachment B (Statement of Work).

17. Prohibited Bids for End Product of this Agreement. No person, firm, or subsidiary thereof which has been awarded a consulting services agreement may submit a bid for, or be awarded an agreement for, the provision of services, procurement of Materials or Data, or any other related action which is required, suggested, or otherwise deemed appropriate in the end product of this Agreement. This provision will not apply to any person, firm, or subsidiary thereof, which is awarded a subcontract under this Agreement in an amount no more than ten percent of the total monetary value of this Agreement.

18. Public Contract Code References. References to the Public Contract Code are provided for convenience only. The Public Contract Code does not apply to Court, but is referenced to clarify Contractor's obligations, if specific code sections are cited.

19. Scope of Work; Acceptance

A. Scope of Work. Contractor will perform and complete all Work described in Attachment B – Statement of Work, in compliance with the requirements of this Agreement, and to the satisfaction of Court.

B. Acceptance.

- 1 All Work provided by Contractor under this Agreement is subject to written acknowledgement and acceptance by Court's Project Manager. The Court's Project Manager will apply the acceptance criteria set forth in Attachment B – Statement of Work, (including timeliness, completeness, technical accuracy and conformance to statistical, industry or marketplace standards) to determine acceptance or non-acceptance of the Work.
- 2 Project Manager shall use the Acceptance and Sign-off Form (in the form provided as Attachment 1) to notify the Contractor of acceptance or non-acceptance.
- 3 If the Work is not acceptable, Project Manager shall detail its failure to meet the acceptance criteria. Contractor shall have ten business days from receipt of Acceptance and Sign-Off Form to correct the failure(s) to conform to the acceptance criteria. Contractor will re-submit the Work and the Project Manager shall re-apply the acceptance criteria to determine its acceptance or non-acceptance. Thereafter, the parties shall repeat the process set forth in this Section 18 until Contractor's receipt of Court's written acceptance of such corrected Work; provided, however, that if Court rejects any Work on at least two (2) occasions, Court may terminate that portion of this Agreement which relates to the rejected Work at no expense to Court.

C. Prior Work. Prior work, performed by Contractor pursuant to Court's authorization, but before execution of this Agreement, will be considered as having been performed subject to the provisions of this Agreement.

- D. Non-Exclusivity.** This is a non-exclusive agreement. Court reserves the right to perform, or have others perform the Work of this Agreement. Court reserves the right to bid the Work to others or procure the Work by other means.

20. Standard of Performance; Warranties

A. Standard of Performance. Contractor will perform all Work with the requisite skill and diligence consistent with professional standards for the industry and type of work performed under the Agreement, and pursuant to the governing rules and regulations of the industry. Contractor understands that Court relies on the accuracy, competence, and completeness of Contractor's services.

B. Warranties.

- 1 Contractor warrants and represents that the Work and all Deliverables furnished will conform to the requirements of this Agreement and such Work and Deliverables will be merchantable, fit for their intended purposes, free from all defects in materials and workmanship, and, to the extent not manufactured pursuant to detailed designs furnished by Court, free from defects in design. Court's approval of designs or specifications furnished by Contractor will not relieve Contractor of its obligations under this warranty.
- 2 Non-Infringement. Contractor represents and warrants to Court that it is and will be either own, or be authorized to use for its own and the Court's benefit, all intellectual property rights used and to be used in connection with providing and/or performing the Work.
- 3 All warranties will inure to Court, its successors, assigns, customer agencies, and users of the Work provided hereunder.
- 4 Unless otherwise specified, the warranties set forth in this Section 19 commence after Work has been approved and accepted by Court.

C. Personnel Requirements.

- 1 Contractor will use adequate numbers of qualified individuals with suitable training, education, experience, and skill to perform the Work. For continuity, Contractor will endeavor to retain the same individuals during the performance of Work.
- 2 Court reserves the right to disapprove Contractor's personnel, if dissatisfied with their performance. Upon receipt of such Notice, Contractor will immediately assign replacement personnel, with equivalent or greater experience and skills, who are acceptable to the Project Manager.
- 3 Contractor will be responsible for all costs associated with replacing personnel, including additional costs to familiarize replacement personnel with the Work. If Contractor does not promptly furnish replacement personnel acceptable to the Project Manager, Court may terminate this Agreement for cause.

D. Background Checks. For Contractor's employees, Subcontractors, or agents performing work, and with access to Court's systems (on-site or remotely) in the performance of their Work under this Agreement, Court will have the right, but not the obligation, to request or conduct a background check, before granting access to Court's premises or systems or at any other time. Contractor will cooperate with Court in performing any background checks, will provide prompt Notice to Court of (i) any person refusing to undergo such background check, and will immediately remove such person from the project, and (ii) the results of any background check

as requested by Court. Contractor will obtain all releases, waivers, or permissions required for the release of such information to Court. Any additional costs will be borne by Contractor.

21. Survival.

Terms that will survive termination or expiration of this Agreement include, but are not limited to: Assignment, Audit Rights and Retention of Records, Confidentiality, Indemnification, Limitation of Liability, Warranties.

22. Termination

A. Termination for Cause. Court may terminate this Agreement, in whole or in part, and be relieved of any payments, if Contractor fails to perform the requirements of this Agreement at the time and in the manner agreed. Court may proceed with the Work in any manner deemed proper. All costs to Court arising from Contractor's default, including costs to complete or correct the Work, will be deducted from any sum due Contractor. Contractor will not be entitled to recover overhead or profit on the uncompleted portions of the Work.

B. Termination for Convenience.

- 1 Court may terminate this Agreement, in whole or in part, at any time and for any reason, upon at least ten days Notice to Contractor. Upon receipt of the termination Notice, Contractor will promptly discontinue Work as specified in the Notice.
- 2 If Court terminates all or part of this Agreement other than for cause, the Court will pay Contractor for the Work satisfactorily performed prior to the termination. Contractor will not recover overhead or profit on the uncompleted portions of the Work.

C. Termination due to Fund Appropriation and Availability.

- 1 Court's obligations under this Agreement are subject to the availability of funds authorized for this Work. Expected or actual funding may be withdrawn, reduced, or limited prior to the expiration or other termination of this Agreement. Funding beyond the current Appropriation Year is conditioned upon appropriation of sufficient funds to support the activities described in this Agreement.
- 2 Upon Notice, Court may terminate this Agreement in whole or in part, without prejudice to any right or remedy of Court, for lack of appropriation of funds. Upon termination, Court will pay Contractor for the fair value of Work satisfactorily performed prior to the termination, not to exceed the total Agreement amount.

D. Effect of Termination.

- 1 Upon any expiration or termination, Court will have the right to take possession of any materials, equipment, Deliverables, and other Work including partially completed Work. Contractor will immediately assign to Court all of Contractor's right, title, and interest in and to such Work and related materials and work product, and any and all intellectual property rights.
- 2 Upon termination of any kind, Court may withhold from payment any sum that Court determines to be owed to Court by Contractor, or necessary to protect Court against loss due to outstanding liens or claims of former lien holders.

23. Time is of the Essence. Time of performance is of the essence in the performance of services by Contractor under this Agreement.

24. Waiver; Severability

- A. **Waiver of Rights.** Court's action, inaction, or failure to enforce any right or provision of this Agreement is not a waiver of its rights, and will not prevent Court from enforcing such rights on any future occasion.
- B. **Severability.** The provisions of this Agreement will be effective in all cases, unless otherwise prohibited by applicable state or federal law. The provisions of this Agreement are separate and severable. The invalidity of any sentence, paragraph, provision, section, or portion of this Agreement will not affect the validity of the remainder of this Agreement.

25. Entire Agreement

- A. Headings or captions to the provisions of this Agreement are solely for the convenience of the parties, are not part of this Agreement, and will not be used to interpret or determine the validity of this Agreement.
- B. This Agreement was negotiated between the parties, and neither party "prepared" this Agreement for purposes of California Civil Code §1654. Any ambiguity will not be construed against the drafter, but rather the terms and provisions will be given a reasonable interpretation.
- C. This Agreement constitutes the entire and final understanding of the parties regarding this matter, and supersedes and terminates any and all prior or contemporaneous negotiations, representations, understandings, discussions, offers, proposals, or agreements between the parties, whether written or oral, express or implied, relating in any way to the this matter.

End of Attachment A: Contract Terms and Conditions

ATTACHMENT B: Statement of Work

1. Description of Service

A. Staffing

- i. Contractor shall provide nine (9) Traffic Technicians to perform the Services of this Agreement. Each Traffic Technician will be assigned to a specific Court location, as designated by the Court.
- ii. The Contractor shall pre-qualify the perspective candidates to determine acceptability and the candidates ability to meet the requirements of the Court, including but not limited to, skill level, flexibility, professionalism and communication skills. If the Contractor identifies a potential candidate that meets the Court's requirements, the Contractor shall contact the Court to obtain the Court's consent. Upon the Contractor's request, the Court will make a representative available to participate in the interview process along with the Contractor's Director. The Contractor shall verify the perspective candidates' employment information and references, including a background check, as requested by the Court. The Contractor shall not assign personnel to perform Services under this Agreement without the Court's approval and written consent. The Court's written consent must also include the hourly rate to be paid for the individual's Services performed under the Agreement.
- iii. Contractor agrees to interview, fingerprint and bond Current Staff that wish to transition to their employment.
- iv. Once hired, Contractor is responsible for conducting the employee orientation for Current Staff.
- v. The Court reserves the right to disapprove the continuing assignment of Contractor's personnel provided pursuant to this Agreement if, in the Court's opinion, the individual's performance is unsatisfactory. If the Court exercises this right, the Contractor shall remove the individual from the assignment and find a replacement candidate as set forth in the above paragraph (iii) above.
- vi. If the Court disapproves the continuing assignment of Contractor's personnel for unsatisfactory performance, the Contractor shall: (a) contact the individual in the evening on the day that the Court has requested that the individual be removed from the assignment and inform the individual that the assignment has been terminated; (b) arrange for next-day pick up of any badge, security card, material or data that the individual may have in their possession and its return to the Court; and (c) arrange for pick up of any personal items left at the Court's premises on the following business day and return of such items to the individual.
- vii. Contractor will provide the Traffic Technicians with necessary supplies and materials to provide Services pursuant to this Agreement prior to the effective date of this Agreement and on an on-going basis as may be necessary. All such supplies and materials will be delivered to the Court's location where the Traffic Technician is providing Service.

B. Scope of Service

- i. Contractor's staff provided hereunder will be qualified to perform the duties required under this Agreement, which may include handling monies, money orders, bank notes, negotiable and non-negotiable instruments, purchase orders, credit cards, credit card information or other valuable property. Contractor's employees shall perform such duties under the Contractor's general supervision.
- ii. Contractor is responsible for checking references, conducting background checks, fingerprinting, securing typing certificates for employees and providing training in individual Court procedures.
- iii. Contractor is responsible to assist employees whenever there is a backlog and schedule back-up staff for Court coverage for long-term illness an/or vacations.
- iv. Contractor's Directors shall be available (24) hours a day including weekends to assist employees should they be unable to report to work.
- v. Contractor is responsible for handling all issues that may occur regarding employee's job performance, work related injuries or any other issues that may require the Court's assistance. Contractor shall request the Court Supervisors input with "Notices to Improve" employee evaluations and/or performance issues.
- vi. Contractor is responsible for discharging employees at the Court's request, as set forth in the provision entitled "Staffing".
- vii. Contractor is responsible for attending Employment Development hearing and appeals.
- viii. Contractor shall maintain continual contact with Court Supervisors to ascertain the employee's progress and/or concerns.
- ix. Contractor is responsible to prepare employee timesheets and payroll information.
- x. Contractor is responsible to communicate with the Human Resources Department regarding labor laws, etc.
- xi. Contractor is responsible to notify the Court of Traffic Violator Schools or Programs that are victimizing the Court's clients
- xii. The Court shall notify Contractor within twenty-four (24) hours of discovery of any cash overages/shortages resulting from the cashiering responsibilities of Contractor employees. Contractor shall reimburse the Court for any monetary losses caused by a Contractor employee.

C. Monitoring Services

- i. Contractor agrees to monitor Traffic Violator School classes and Home Study /Alternative Traffic (Internet) Programs in compliance with CVC 11204(l). Monitoring services described below are included in Contractor's hourly rates set forth herein.
- ii. Contractor shall inspect each administrative office and classroom location of schools participating in the program to ensure the environment is conducive to learning and safety.
- iii. Contractor will be responsible for reviewing, authorizing and approving any and all internet/home study tests that are given. Contractor will also make available, to the internet/home study traffic school violators, a special security program wherein the citee is required to print the exam, take it to a notary public where the test will be administered in front of the notary public and notarized to guarantee that the citee and the person taking the exam are one in the same.

- iv. Contractor shall monitor instruction at each traffic violator school classroom location at least once every ninety (90) days. Trained monitors shall perform the monitoring function. Reports shall be completed and submitted to both the Court and the Department of Motor Vehicles for whatever action is deemed necessary.
- v. Contractor will provide Court with a statewide list of approved schools, including Traffic Violator School classes and Home Study/Alternative Traffic (Internet) Programs, hereinafter collectively referred to as “Providers”. The schedule for distribution of the approved Providers will be determined by the Court and Contractor will update the list on an ongoing basis. Contractor will require all Providers to comply with Contractor’s requirements for certification and enter into a written agreement that requires each Provider to comply with all relevant rules, laws, regulations, policies, and the requirements set forth in this Agreement. All Providers included on the approved list must have demonstrated their ability to provide quality educational services to traffic violators.
- vi. Contractor will conduct investigations of the Providers at the Court or Department of Motor Vehicle’s (“DMV”) request. Investigations may involve sending an undercover person to monitor a Provider or to investigate a complaint that may have been made. Reports on any investigations that are performed must be submitted each month to the Court and DMV.

D. Removal of Traffic Violator School Programs from the Court’s List

- i. Contractor shall investigate and provide a report with recommendations to the Court of all student complaints and any violation of laws, rules, regulations, policies, or terms of the agreement between Contractor and any Provider
- ii. The Court, in its sole discretion, may remove from the approved list of Providers, with or without notice, any Provider that the Court finds to be in violation of laws, rules, regulations, policies, or the terms of the agreement between Contractor and the Provider. Reasons for removal may include, but are not limited to the following:
 - a. Selling a program to a Court-referred student, which differs from the program that has been reviewed and approved by Contractor and the Court.
 - b. Providing answers to the program test to student(s) in a way that does not require the student to complete the entire program to obtain a passing score.
 - c. Receipt of an unacceptable number, as determined by the Court, of valid serious written complaints from students in any given time period.
- iii. Notice of removal from the approved list to any Provider will be transmitted by the Contractor, as directed by the Court.
- iv. If an infraction is minor, as determined by the Court, the Provider may appeal and be allowed to take corrective measures before any action is taken. All such corrective measures must be documented and submitted to Contractor for review and recommendation to the Court.

E. Appeal Process

- i. If a Provider appeals any action taken to remove them from the Court’s approved list, the Provide must comply with the provisions of the California Vehicle Code and the rules and regulations of the Department of Motor Vehicles.

- ii. If a Provider appeals any action taken to remove them from the Court's approved list, the Provider must submit a written request to the Contractor for such appeal within seven (7) calendar days of the day of mailing of the notice to remove the Provider from the approved list. The Contractor shall immediately forward the Provider's request to the Court. The Court will determine the appropriate action.
- iii. All appeals shall be reviewed by the Court and its decision shall be final. All final determinations of appeal shall be submitted to the Provider in writing.

F. Quality of Representation

- i. The Contractor shall provide quality services for traffic school participants that comply with the provisions of California Vehicle Code Section 11204 & 11205.
- ii. The Contractor shall provide competent Traffic Technicians to render the Services. The traffic school monitors employed by the Contractor shall (a) participate in training; (b) demonstrate adequate skills, knowledge and comprehension of the traffic violator school requirements, the purposes and goals of such requirements, and the specific statutes and rules of court relevant to the monitoring services.
- iii. The Contractor shall make its employees available to serve on a countywide basis consistent with their obligations as professionals.

G. Case Reports

- i. The Contractor shall provide each location listed in Attachment D, Court Locations, with a monthly report that conforms to the Department of Motor Vehicles specifications.
- ii. Each individual monthly report will include a list of all traffic violators that have completed the program and a report of any Provider investigation, including student complaints.
- iii. A copy of each report shall be provided to the Court's Procurement Manager.

End of Attachment B: Statement of Work

ATTACHMENT C: Payment Provisions & Cost Proposal

1. Payment Provision

a. Contractor shall be compensated at the rates set forth in paragraph b. below. The total amount the Court may pay to Contractor for the Services to be performed under this Agreement, including all overhead and indirect costs, shall not to exceed **\$685,288.10** over the three-year term.

b. Contractor's monthly compensation for all Services provided hereunder shall be based on the actual hours worked by each Traffic Technician. The actual rate shall be agreed to, in writing, at the time the Court agrees to accept the proposed Traffic Technician.

c. The hourly rate(s) charged hereunder are inclusive of all costs, benefits, expenses, fees, clerical support, background checks, fingerprinting, materials, overhead, profits, and other costs or expenses incidental to the performance of Service provided under this Agreement. The Court will not make any additional payments for costs, including, but not limited to, Salary Cost of Living Adjustments ("COLA's") for Contractor employees.

2. Manner of Payment

a. Within thirty (30) days after the end of each month, the Contractor shall submit one original and one copy of the invoice for payment for the Services rendered under this Agreement ("Invoices") for approval by the Court to:

Superior Court of California
County of Alameda
1225 Fallon Street, Room 210
Oakland, CA 94612
Attn: Accounts Payable, Finance Services Bureau

b. All Invoices must include: (i) the Contract No.;(ii) a unique invoice number; (iii) the Contractor's name and address; (iv) taxpayer identification number (Contractor's federal employer identification number); and (v) the name of each Traffic Technician, the associated hours worked during the invoiced period, and the agreed upon hourly rate. Invoices shall be in a format acceptable to the Court.

c. The Court reserves the right to withhold payment if the Court determines that the quantity or quality of the work performed is unacceptable. The Court also reserves the right to withhold payment contingent upon the proper delivery of case reports as noted in Subsection 1g above.

d. Properly signed employee timesheets must also be attached to each Invoice. All timesheets are to be signed by the Trial Court Administrator or his/her designee at each Court location before being submitted to Contractor.

- a. The Court shall make payment to the Contractor within thirty (30) days after receipt and approval of the Contractor's properly completed Invoice.
- b. In no event shall the Court be liable for interest or late charges for any late payments.

Cost Proposal

Bidders must submit all-inclusive pricing for each of the three (3) years being bid. The all inclusive pricing will be quoted as a lump sum with all administrative overhead, incidental, time and material expenses and any and all other expenses of any nature whatsoever included. The amounts quoted below will constitute the maximum amounts the Court will be expected to pay for each of the three (3) years quoted.

DESCRIPTION	Based on staffing, personnel allocations and costs required to perform the services required in this RFP, quote costs below.	
Traffic School Review & Monitoring Calendar Year April 2007 – April 2008		
	TOTAL COST YEAR 1:	
Traffic School Review & Monitoring Calendar Year April 2009 – April 2010		
	TOTAL COST YEAR 2:	
Traffic School Review & Monitoring Calendar Year April 2011 – April 2012		
	TOTAL COST YEAR 3:	
TOTAL COST (all three years)		

End of Attachment C: Payment Provisions & Cost Proposal

ATTACHMENT D: Court Locations

Wiley W. Manuel Courthouse
661 Washington Street
Oakland, CA 94607

Fremont Hall of Justice
39439 Paseo Padre Parkway
Fremont, CA 94536

Hayward Hall of Justice
24405 Amador Street
Hayward, CA 94544

George E. McDonald Hall of Justice
2233 Shoreline Drive
Alameda, CA 94501

Gale/Schenone Hall of Justice
5672 Stoneridge Drive
Pleasanton, CA 94588

ATTACHMENT E: VENDOR CERTIFICATION FORM

I certify that neither _____ (Vendor) nor any of its proposed subcontractors are currently under suspension or debarment by any state or federal government agency, and that neither Vendor nor any of its proposed subcontractors are tax delinquent with the State of California. I have listed all contracts with government or commercial customers during the five years preceding submission of this Proposal.

I acknowledge that if Vendor or any of its subcontractors subsequently are placed under suspension or debarment by a local, state or federal government entity, or if Vendor or any of its subcontractors subsequently become delinquent in California taxes, our Proposal may be disqualified.

Signature

Printed Name

Title

Date

VENDOR CERTIFICATION FORM (CONTINUED)

**List of all Contracts with Government or Commercial Customers
during the Five Years preceding Submission of this Proposal**

ATTACHMENT F: REFERENCES

CURRENT REFERENCES

Company Name:	
Address:	
City, State, Zip Code:	
Contact Person:	
Telephone Number:	
Type of Business:	
Dates of Service:	

Company Name:	
Address:	
City, State, Zip Code:	
Contact Person:	
Telephone Number:	
Type of Business:	
Dates of Service:	

Company Name:	
Address:	
City, State, Zip Code:	
Contact Person:	
Telephone Number:	
Type of Business:	
Dates of Service:	

Company Name:	
Address:	
City, State, Zip Code:	
Contact Person:	
Telephone Number:	
Type of Business:	
Dates of Service:	

Company Name:	
Address:	
City, State, Zip Code:	
Contact Person:	
Telephone Number:	
Type of Business:	
Dates of Service:	

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